

Attorney's Docket No.: 05489.P010
Confirmation No. 9112

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Patent



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

re Application of:

Wayne V. Sorin, et al.

Application No.: 10/040,526

Filed: December 28, 2001

For: ACOUSTO-OPTIC TUNABLE APPARATUS HAVING)
A FIBER BRAGG GRATING AND AN OFFSET CORE

)
)
) Examiner: Unassigned

)
) Art Unit: Unassigned

Assistant Commissioner for Patents
Box Missing Parts
Washington, D.C. 20231

RESPONSE TO NOTICE TO FILE MISSING PARTS OF APPLICATION
(FILING DATE GRANTED)

Sir:

In response to the Notice to File Missing parts of Application (Filing Date
Granted) mailed February 13, 2002, please find enclosed:

- (1) a check in the amount of \$130.00 in payment of the surcharge of
37 C.F.R. § 1.16(e);
- (2) a copy of the Notice to File Missing Parts of Application; and
- (3) a signed Declaration and Power of Attorney.

FIRST CLASS CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first
class mail with sufficient postage in an envelope addressed to the Assistant Commissioner for Patents,
Washington, D.C. 20231
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Date of Deposit

Michelle R. Offenbaker

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Signature

Date

042390P11020


- 1 -

If any additional fee is required, please charge Deposit Account No. 02-2666. A duplicate of this Response is enclosed for deposit account charging purposes.

Respectfully submitted,

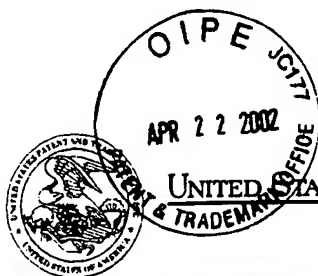
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP

Dated: 9-11-2002


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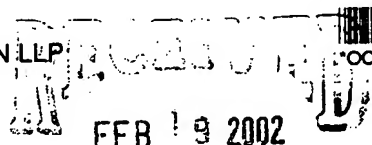
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WASHINGTON, D.C. 20231
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APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/040,526	12/28/2001	2874	1130	005489.P010	6	37	4

CONFIRMATION NO. 9112

FILING RECEIPT

Thomas S. Ferrill
BLAKELY, SOKOLOFF, TAYLOR & ZAFMAN LLP
Seventh Floor
12400 Wilshire Boulevard
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OC000000007466153

ZAFMAN

Date Mailed: 02/13/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. ~~Please provide a copy of this Filing Receipt with the changes noted thereon.~~ If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

Applicant(s)

Wayne Sorin, Mountain View, CA;
B. Yoon Kim, Mountain View, CA;

*Please replace the B. with
the full name "Byoung".*

Domestic Priority data as claimed by applicant

Foreign Applications

If Required, Foreign Filing License Granted 02/12/2002

Projected Publication Date: Request for Non-Publication Acknowledged

Non-Publication Request: Yes

Early Publication Request: No

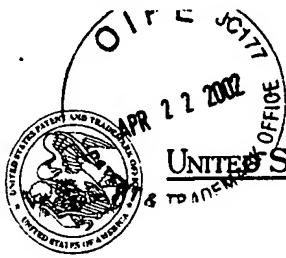
Title

Acousto-optic tunable apparatus having a fiber bragg grating and an offset core

Preliminary Class

385

ENTERED
FEB 19 2002
STATUS DB-LA



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APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
10/040,526	12/28/2001	Wayne Sorin	005489.P010

CONFIRMATION NO. 9112

FORMALITIES LETTER



OC000000007466154

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NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

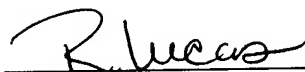
FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is unsigned.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 130.

*A copy of this notice **MUST** be returned with the reply.*



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